

Data Structures

RECAP

Clever way of arranging data in memory so that update/query operations can be performed efficiently.

RANGE MINIMA PROBLEM

Given an array $A[1 \dots n]$, indices i, j

output: minimum element in $A[i \dots j]$

Strategy 1 Run a loop from $A[i]$ to $A[j]$ and find the minimum element.

Time: $O(n)$

Storage: $O(1)$ extra words

Strategy 2 Precompute a $n \times n$ matrix B such that

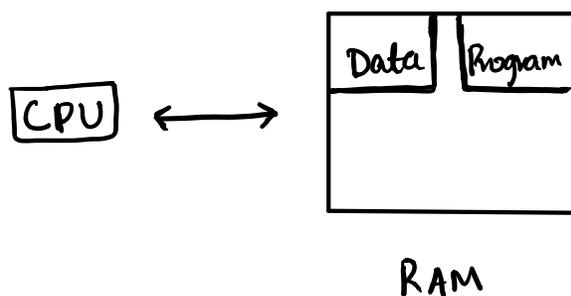
$$B(i, j) = \text{min elt. from } A(i) \text{ to } A(j)$$

Time: $O(1)$

Storage: $O(n^2)$

HW: Can you use a data structure of size $O(n)$ and get an efficient algorithm?

MODEL OF COMPUTATION



- How are instructions executed?
- Decode the instruction
 - Fetch the operands
 - Performs arithmetic/logical operations
 - write back the answer to memory

WORD RAM MODEL 1 word : Smallest entity of RAM

- Basic entity of a problem is a word.
- Fetching any word from the RAM takes the same amount of time.
- 1 instruction is a few clock cycles.

GRAPH MODEL
(later)

FIBONACCI NUMBER

Input: n, m (both int)

Output: $F(n) \bmod m$

Hw: Implement IFib and RFib, note the time.
1min, 10min, 10hour

IFib: $5 + 4(n-1) + 1$ instructions
 $\approx 4n$ Running time

RFib: let $G(n)$ be the time taken to compute RFib(n, m)

if $n=0$ $G(0)=1$

$n=1$ $G(1)=2$

$n \geq 2$ $G(n) = 3 + G(n-1) + G(n-2)$

$G(n) > F(n) \quad \forall n$

Prove: $F(n) > 2^{n-2/2}$??

$G(n) > 2^{n-2/2}$

WARM UP EXAMPLE

Powering:

Input: x, n, m

Output: $x^n \bmod m$

Strategy 1 Run a loop for n iterations and compute $x^n \bmod m$
 $\approx 3n$

$$x^n = \begin{cases} \text{if } n=0 & x^n = x^0 = 1 \\ \text{if } n \text{ is even,} & x^n = x^{n/2} \times x^{n/2} \\ \text{if } n \text{ is odd,} & x^n = x^{n/2} \times x^{n/2} \times x \end{cases}$$

Jan 8, 2020 WEDNESDAY Lecture 3

RECAP

Powering:

Input: x, n, m

output: $x^n \bmod m$

Power(x, n, m):

if ($n \geq 0$) then return 1

temp \leftarrow Power($x, n/2, m$)

else if ($n \bmod 2 = 0$)

return (temp \times temp) $\bmod m$

else return (temp \times temp $\times x$) $\bmod m$

Let $T(n) = \text{no. of inst executed to compute Power}(x, n, m)$

$$\begin{aligned} T(n) &= 1 + T(n/2) + 4 \\ &= 5 + T(n/2) = 5 \lg n \end{aligned}$$

— can we express $F(n)$ as a^n for some const a ?
→ NO!

$$\begin{aligned} \begin{pmatrix} F(n) \\ F(n-1) \end{pmatrix} &= \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} F(n-1) \\ F(n-2) \end{pmatrix} \\ &\vdots \\ &= \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1} \begin{pmatrix} F(1) \\ F(0) \end{pmatrix} \end{aligned}$$

HW: Implement this to get an algo for $F(n) \bmod m$.
call this CleverFib.

COMPLEXITY OF AN ALGORITHM over a fixed input size

The worst case number of instructions executed while running the algorithm as a function of the input size or some parameter of the input size.

Example

— $F(n) \bmod m$

Input size
 $\lg n + \lg m$

— Given an array A

Check if A is sorted

n

— Given a $n \times n$ matrix,
output its square

n^2

Mat Mult

Mat Mult($C[n,n]$, $D[n,n]$)

for $i = 0$ to $n-1$

for $j = 0$ to $n-1$

$M(i,j) \leftarrow 0$ — ①

for $k = 0$ to $n-1$

$M(i,j) = M(i,j) + C(i,k) * D(k,j)$ — ②

Return M — ③

$$\left(\sum_{i=0}^{n-1} \sum_{j=0}^{n-1} \left(1 + \sum_{k=0}^{n-1} 2 \right) \right) + 1$$

$$= \sum_{i=0}^{n-1} \sum_{j=0}^{n-1} (2n+1) + 1$$

$$= (2n+1) (n^2) + 1$$

$$= 2n^3 + n^2 + 1$$

COMPARING FUNCTIONS

f

When comparing two functions we only care about large values of n .

g

$$2n^2 + 5n + 100 \checkmark$$

$$n^2 + 2n + 50$$

$$2n^2 + 5n + 100 \checkmark$$

$$100n + 1000$$

f

$$5n^2 + n + 100$$

g

$$n^2 + 10$$

h

$$10n^{1.5} + 1000$$

$$\lim_{n \rightarrow \infty} \frac{g}{f} = \frac{1}{5}$$

$$\lim_{n \rightarrow \infty} \frac{h}{f} = 0$$

→ Only this can be used to predict which f is larger ??

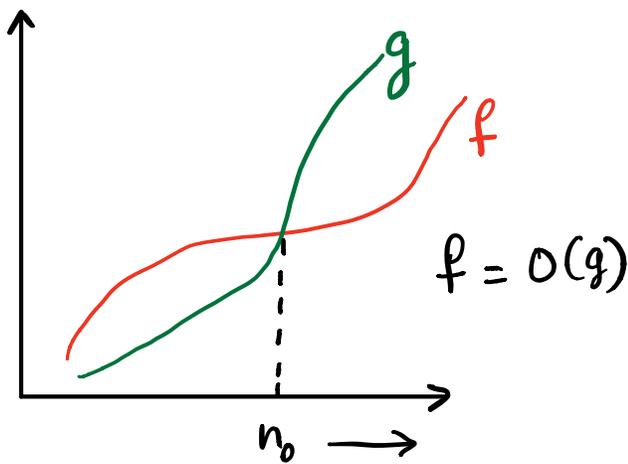
ORDER NOTATION

Let f and g be two increasing functions.

We say f is of the order of g (denoted as $f = O(g)$)

if \exists constants n_0, C s.t

$$\forall n \geq n_0, f(n) \leq C \cdot g(n)$$



$$O(5n^2 + n + 100) = O(n^2) \quad \text{Loose bound}$$

$$O(n^2 + 10) = \underline{O(n^2)} = O(n^3)$$

$$O(10n^{1.5} + 1000) = \underline{O(n^{1.5})} \quad \text{tight bound}$$

HW: Prove the following statements

- 1) If $f = O(g)$ and $g = O(h)$ then show that $f = O(h)$
- 2) If $f = O(h)$ and $g = O(h)$ then $f + g = O(h)$
- 3) Is $3^n = O(2^n)$? Prove. DONE!

Jan 10, 2020 FRIDAY Lecture 4

Maximum Sum Subarray Problem —

Input: An array A

Output: A subarray of A with the maximum sum

Trivial Algo(A) {

max $\leftarrow A[0]$

for $i = 0$ to $n-1$ {

for $j = i$ to $n-1$ {

temp \leftarrow compute-sum(A, i, j)

if max $<$ temp max \leftarrow temp

}

}

return max

compute-sum(A, i, j) {

sum $\leftarrow A[i]$

for $k = i+1$ to j {

sum \leftarrow sum + $A[k]$

} return sum; }

}

$O(n)$ time algorithm

MaxSum (A) {

$S[0] \leftarrow A[0] \leftarrow O(1)$

for $i = 1$ to $n-1$ { $\leftarrow n-1$ repetitions

if $S[i-1] > 0$ then $S[i] \leftarrow S[i-1] + A[i]$
else $S[i] \leftarrow A[i]$ } $O(1)$

scan S to find max entry $\rightarrow O(n)$

}

Time complexity: $O(n)$

MaxSum Improved (A) {

$S_{-i} = A[0]$

$S_{-i-1} = A[0]$

for $i = 1$ to $n-1$ {

$S_{-i} = \max(A[i], S_{-i} + A[i])$

$S_{-i-1} = \max(S_{-i-1}, S_{-i})$

}

return S_{-i-1}

}

HW: Solve the problem in $O(n)$ time using $O(1)$ space

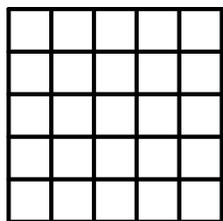
Strategy \rightarrow Efficiency \rightarrow Proof of correctness.

Proof of correctness

\rightarrow For every possible input the algorithm gives a correct answer.

Prove correctness of the above algorithm.

FINDING LOCAL MINIMA IN A GRID



$n \times n$ matrix consisting of distinct no.

A cell $A(i, j)$ is said to be a local minima if it is smaller than its 4 neighbors.

Input: $n \times n$ matrix A .

Output: (i, j) s.t. $A(i, j)$ is a local minima

- There always exists a local minima.
- Global minima is a local minima.

Strategy 1

Explore (A)

$c \leftarrow$ some cell of A ;

while (c is not local minima) {

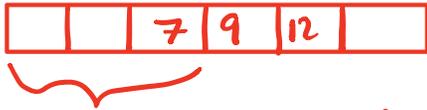
$c \leftarrow$ smallest neighbor of c ;

}

output c ;

- ① Every idea is important
- ② Can we solve a simpler variant of the problem?

Local minima in a 1D Array



there exists a local minima

HW: Give a $O(\lg n)$ time algo for computing local minima in a 1D array.

Jan 14, 2020 TUESDAY Lecture 5

PROOF OF CORRECTNESS

- The algorithm outputs the correct answer for all possible inputs.

- Loop invariant

Eg.: // compute $\sum_{i=1}^n i$

Sum(n) {

$s \leftarrow 0$;

 for $i = 1$ to n {

$s \leftarrow s + i$; }
 output s ;

$P(i)$: At the end of the i th iteration of the for loop,

$$s = \sum_{k=0}^i k$$

↑
Loop invariant

Proof by induction on i

Base case $i = 0$

$s = 0$ by definition

$$= \sum_{k=0}^0 k = 0$$

Assume $P(i-1)$ is true

$P(i)$: The value of s
at the end of $(i-1)$ th iteration = $\sum_{k=0}^{i-1} k$ — By induction hypo.

Now, at the end of the i^{th} iteration,

$$S = \sum_{k=0}^{i-1} k + i = \sum_{k=0}^i k$$

Hence proved.

→ Loop invariant is an assertion that is true at the end of each iteration of the loop.

MAXIMUM SUM SUBARRAY PROBLEM

A: input array

S:

$P(i)$: At the end of the i^{th} iteration of the loop,

$S(i)$ = the sum of the max subarray, ending at $A(i)$.

Theorem: If $S(i-1) > 0$ then $S(i) \leftarrow S(i-1) + A(i)$
else $S(i) \leftarrow A(i)$

HW: Prove $P(i)$.

Jan 15, 2020

WEDNESDAY

Lecture-6

Theorem: A local minima in a 1D array containing n distinct numbers can be found in $\lg n$ time.

Loc Min In Grid (M) {

$L \leftarrow 0$, $R \leftarrow n-1$; found \leftarrow false;

while (not found) {

mid $\leftarrow (L+R)/2$;

min \leftarrow index of the min-elt in $M(*, mid)$;

if (min is a local min) then found \leftarrow true;

else if $M(min, mid) > M(min, mid+1)$

$L \leftarrow mid+1$;

else $R \leftarrow mid-1$;

}

return $M(min, mid)$;

Proof of correctness:

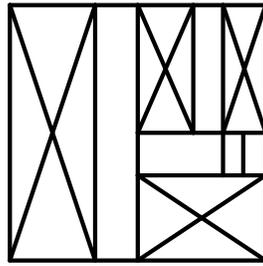
P(i): \exists a local minima between $M(*, L)$ and $M(*, R)$.

\Downarrow

$\exists j$ s.t. $M(j, L) < M(*, L-1)$ and

$\exists j'$ s.t. $M(j', R) < M(*, R+1)$

HW: Give a counter example.



Does not work →

HW: $O(n)$ implementation for this problem.

Jan 17, 2020 FRIDAY Lecture 7
GCD $a \geq b > 0$

```
GCD(a, b) {  
  while (b != 0) {  
    t ← b;  
    b ← a mod b;  
    a ← t;  
  }  
}
```

return a;

Euclid's Theorem: If $a \geq b > 0$ then $\gcd(a, b) = \gcd(b, a \bmod b)$

Proof of correctness of GCD algorithm

$P(i)$: Let a_i and b_i be the values stored in the variables a, b at the end of the i th iteration. Then

$$\gcd(a, b) = \gcd(a_i, b_i)$$

Base case: $\gcd(a, b) = \gcd(a, b)$. trivial

Induction hypothesis:

$$\gcd(a, b) = \gcd(a_{i-1}, b_{i-1})$$

Now at the end of i th iteration

$$a_i = b_{i-1}; \quad b_i = a_{i-1} \bmod b_{i-1}$$

$$\gcd(a_i, b_i) = \gcd(b_{i-1}, a_{i-1} \bmod b_{i-1})$$

$$= \gcd(a_{i-1}, b_{i-1}) \quad [\text{By Euclid's Theorem}]$$

$$= \gcd(a, b) \quad [\text{By induction hypothesis}]$$

BINARY SEARCH

Binary Search (A, key)

- HW:
- 1) Write the pseudocode of binary search
 - 2) Show the time complexity of binary search
 - 3) Devise a loop invariant and prove the correctness of binary search.

RANGE MINIMA PROBLEM

- Given an array A storing n numbers.
- AIM: To build a data structure such that queries of the following type can be answered efficiently

Range Minima (i, j) \rightarrow outputs
 $\min(A(i), A(i+1), \dots, A(j))$

Our Aim is to optimise the following:—

- | | |
|----------------------|--------------|
| - Query time | $O(\lg n)$ |
| - Space used | $O(1)$ |
| - Preprocessing time | $O(n \lg n)$ |

Dictionary problem

$n \approx 10^6$, #queries $\approx 10^7 \equiv m$

Brute force

Pre compute $\forall i, j$

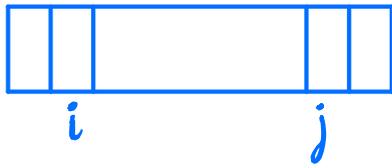
Space: $O(1)$

Space: $O(n^2) \approx 10^{12}$

Time: $O(nm)$

Time: $O(1 \cdot m) \approx 10^7$.

$\approx 10^{13}$



Aim: Each query $\rightarrow O(1)$ time

Fix i ,
Require a data structure of size $O(n)$.

Data structure: $O(n \lg n)$

"Efficient" implementation:

Data structures used:

$$- \text{Pow}(m) = 2^k \quad \text{s.t.} \quad 2^k \leq m$$

$$0 \leq m \leq n-1$$

$$- \text{Log}(m) = \lfloor \lg m \rfloor$$

$$0 \leq m \leq n-1$$

$$- B(i, k) = \min(A(i), A(i+1), \dots, A(i+2^k))$$

Range_Minima (i, j)

$$L \leftarrow j - i;$$

$$t \leftarrow \text{Pow}(L);$$

$$k \leftarrow \text{Log}(L);$$

if ($L = t$) output $B(i, k)$

else output

$$\min(B(i, k), B(j-t, k))$$

$O(1) \rightarrow$ For each query

$O(n \lg n) \rightarrow$ additional space

$O(n^2 \lg n) \rightarrow$ Preprocessing. Can we do it in $O(n \lg n)$ time?

HINT: FIBONACCI

Successor (p, L) $\rightarrow x_{i+1}$

4. Predecessor (p, L): returns the previous element in list L before position p.

- Update operations

- Create Empty list (L)

- Insert (x, p, L): inserts element x into the list L at position p.

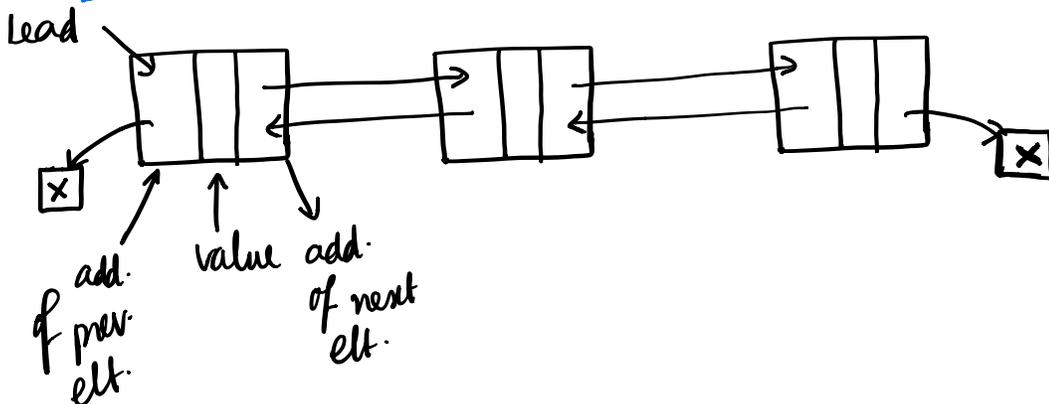
- Delete (p, L): delete the element at position p in L.

- Make List Empty (L).

Operations	Array	DLL
Is Empty List	$O(1)$	$O(1)$
Search	$O(n)$	$O(n)$
Successor	$O(1)$	$O(1)$
Predecessor	$O(1)$	$O(1)$
Create Empty List	$O(1)$	$O(1)$
Insert	$O(n)$	$O(1)$
Delete	$O(n)$	$O(1)$
Make List Empty	$O(1)$	$O(1)$

motivation for linked list

Linked list (DLL)



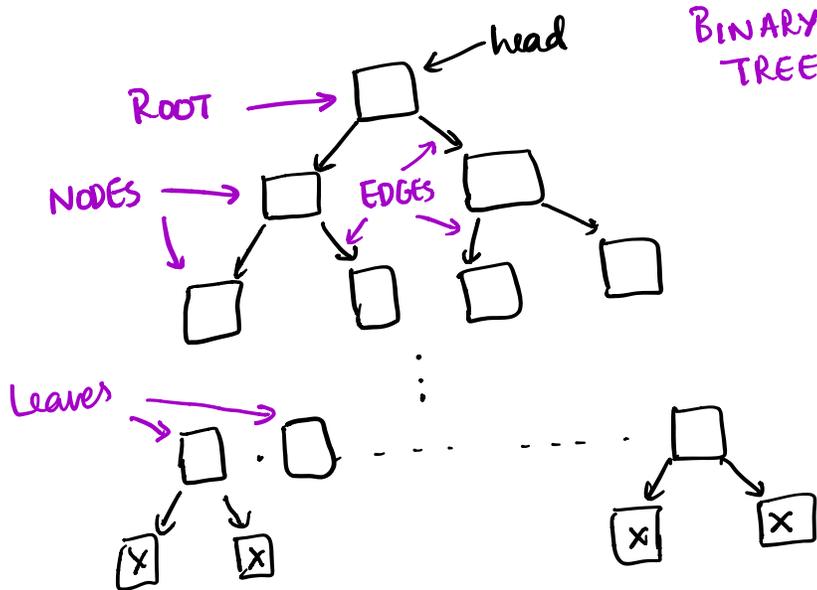
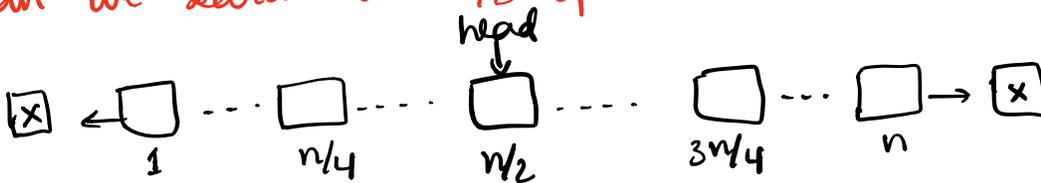
HW: Implement a list data structure.

Quiz on 9th Feb
Sunday

Implementing a telephone directory

operation	Sorted array	Sorted list
SEARCH	$O(\lg n)$	$O(n)$
INSERT/DELETE	$O(n)$	$O(1)$

Can we search in $n/2$ operations in a sorted list?



BINARY TREE

Start at a root, grow and branch.
 At the end of branches you have leaves.
 Inverted tree structure.

- A binary tree is a collection of nodes connected by edges s-t
1. Every node has at most 2 outgoing edges
 2. There is one node with 0 incoming edges (called root)
 3. Every node except for the root has 1 incoming edge.

4. Between every pair of nodes there is at most 1 edge

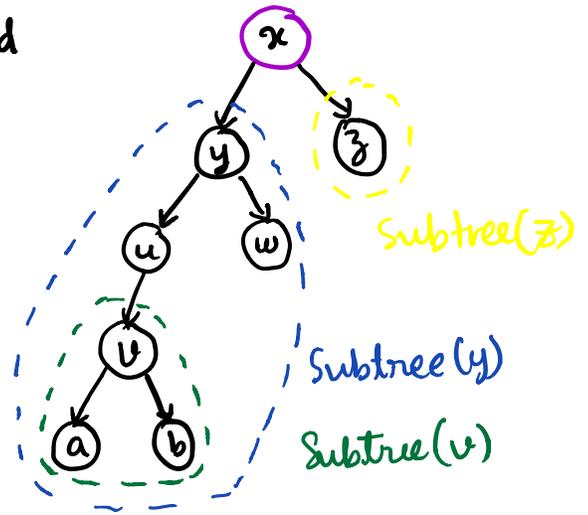
SOME TERMINOLOGIES

- if there is an edge from u to v , then u is called the parent of v and v is a child of u

- the height of a binary tree is the maximum number of edges from the root to a leaf node

- We say binary tree T is perfectly balanced if for all nodes v in T ,

$$|| \text{Subtree}(\text{left}(v)) | - | \text{Subtree}(\text{right}(v)) || \leq 1$$



Parent(y) = x
child(y) = $\{u, w\}$
child(u) = $\{a, b\}$
Height(T) = 4

THEOREM: Let T be a perfectly balanced binary tree containing n nodes. Then Height(T) $\leq \lg n$

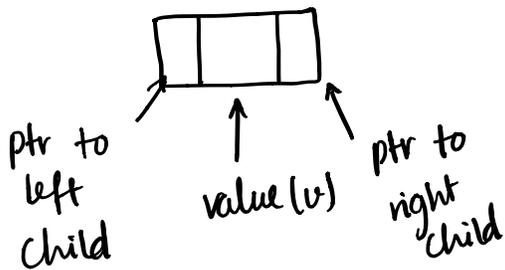
Complete the formal proof

BINARY SEARCH TREE

A binary search tree is a binary tree having values at every node, such that for all nodes $v \in T$,

value (v) \leq value of every node in the right subtree of v

value (v) \geq value of every node in the left subtree of v

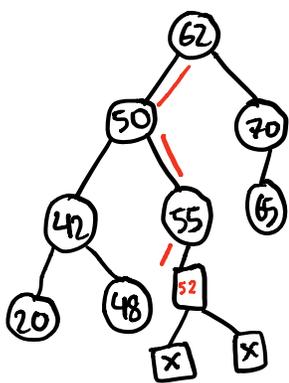


HW: write pseudocode.

Jan 24, 2020 FRIDAY

Binary search trees

- Search and Insert in a BST



INSERT (52)

Every insertion happens at a leaf node.

Hw: Write the pseudocode for Search(T, x) and Insert(T, x) in a BST.

$O(H)$ where H is the height (T)

Definition: A binary tree is said to be nearly balanced if at every node v ,

$$|\text{subtree}(\text{left}(v))| \leq \frac{3}{4} |\text{subtree}(v)| \quad \text{and}$$

$$|\text{subtree}(\text{right}(v))| \leq \frac{3}{4} |\text{subtree}(v)|$$

Let, $H(n)$ be the height of a nearly balanced binary tree T with n nodes

$$H(n) \leq H\left(\frac{3n}{4}\right) + 1$$

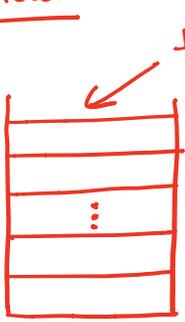
$$H(1) = 0$$

$$\leq H\left(\left(\frac{3}{4}\right)^2 n\right) + 2$$

$$\leq \log_{4/3} n = \frac{\lg n}{\lg 4/3} = O(\lg n)$$

- Now suppose we start with a perfectly balanced BST and keep inserting / deleting nodes then the tree will remain nearly balanced for sometimes.
- We can maintain a fourth field at every node v ,
 $| \text{subtree}(v) | \rightarrow$ size of subtree rooted at v .

Stack



- linear

- Insert / delete at the top of the stack only
 push pop

- we can only query the top of S .

S

Operations on a stack (Mathematical model)

Query

- $\text{IsEmpty}(S)$: checks if S is empty.
- $\text{Top}(S)$: returns the top element of S .

Update

- $\text{Push}(S, x)$: inserting x at the top of the stack.
- $\text{Pop}(S)$: deleting the top element from S .

8 queens problem \rightarrow Can we place 8 queens in a chessboard so that no queen kills any other queen?

\rightarrow stacks

Jan 28, 2020

TUESDAY

RECAP

Stack

- linear data structure
- insert/delete/query happens on one end (call top)
- IsEmpty, Top, Push, pop
query update

Evaluating an arithmetic expression

$$9 * 8^2 - 1 + 3/6 = 9 * 64 - 1 + 3/6$$

Precedence of operators

1. \wedge
 2. $/, *$
 3. $+, -$
- ↓ High to low

$$2^{\underbrace{3^2}} = 512 \quad | \quad \text{Power is right associative}$$

- Associativity: in an expression with multiple operators of the same precedence which operator gets executed first.

\wedge is right associative
 $/, -$ are left associative

Simpler Problem

- No parenthesis
- Every operator is left associative

Algo do multiple scans and solve each operator one by one

- Disadvantages
- multiple scans
 - can only handle a fixed set of operators
 - case based


```

    t2 ← Pop (N-stack);
    t3 ← t2 o t1;
    Push (t3, N-stack)
  }
  Push (x, O-stack);
}

```

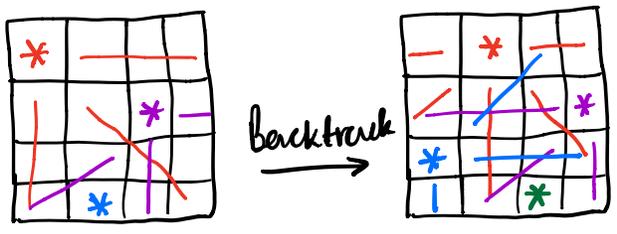
Hw: Allow parentheses } Re-implement the algo
 Allow associativity }

Jan 29, 2020 WEDNESDAY

8 queens' problem

4 x 4 case

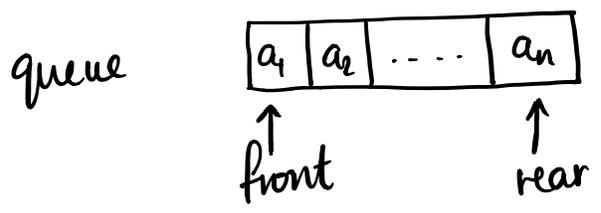
Is it possible to place the 4th queen?
 → yes!



Ex: Write a program to implement a stack and using the stack solve the 8 queens' problem.

Queue

- Linear data structure
- Insertion happens at the rear and removal happens from the front.
- FIFO



- This implementation might go beyond the array boundary after several enqueue and dequeue.

Work-around

Enqueue (x, Q) {

rear \leftarrow (rear + 1) mod n

$Q(\text{rear}) \leftarrow x$

size \leftarrow size + 1

}

Dequeue (Q) {

temp \leftarrow front

front \leftarrow (front + 1) mod n

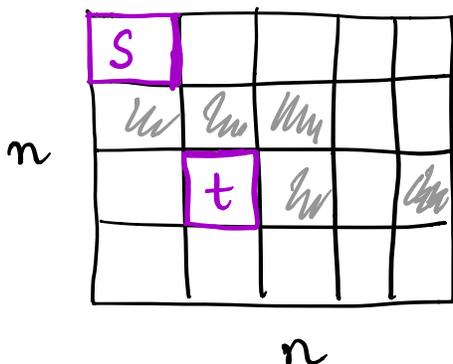
size \leftarrow size - 1

return ($Q(\text{temp})$)

}

Ex: Implement the Front (Q).

Finding the shortest path in a grid



Some cells are blocked

2D array filled with 1's & 0's s-t
0's are the blocked cells.

- Given two more cells - start, target
- Horizontal, vertical moves allowed $S \rightarrow t$

Problem: Find the shortest length path $S \rightarrow t$ using available cells.

$$T(n,n) = T(n-1,n) + T(n,n-1)$$

$$T(1,1) = 1$$

Jan 31, 2020 FRIDAY

Shortest path in a grid

$G(i,j) = 1$ if (i,j) is not an obstacle
 $= 0$ otherwise

- A cell is at a distance i from s if \exists a neighbour that is at a distance $i-1$, and there is no neighbor at distance $< i-1$.

Enumerate_next_layer (G, L_{i-1}) {

for (each cell c in L_{i-1}) {

$O(n)$ for (each neighbor b of c that is "empty") {

$O(1)$ Add b to L_i

}

Return L_i ;

}

Dist_in_Grid (G, s) {

Time complexity = $O(n^3)$

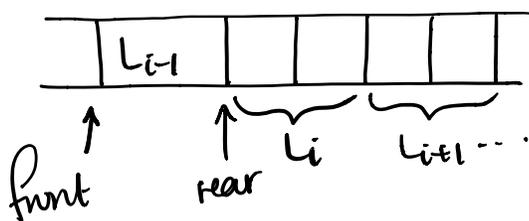
$T \leftarrow \{s\};$

$O(n^2)$ for $(i=1$ to $n^2)$ {

$T \leftarrow$ Enumerate_next_layer $(G, T) \rightarrow O(n)$

}

$L_0, L_1, \dots, L_{i-1}, L_i, L_{i+1}, \dots$



- use a queue to simplify algorithm.

Shortest-Dist-in-Grid (G, s) {

$O(n^2)$ ← for (each cell c in G that is not an obs.) $\text{dist}(c) \leftarrow \infty$
 $\text{dist}(s) \leftarrow 0$, // Enqueue, enumerate on dequeue

Enqueue(s, Q);

$O(n^2)$ ← while (IsEmpty(Q) is false) {
 $x \leftarrow$ Dequeue(Q);

$O(1)$ { for (each neighbor y of x s.t. y is not an obstacle and $\text{dist}(y) = \infty$) {
 $\text{dist}(y) \leftarrow \text{dist}(x) + 1$;
 Enqueue(y, Q);
 }

}
}
}
} Every cell is enqueued at most once and in every iteration of the while loop, one cell gets dequeued.

Time Complexity : $O(n^2)$

Proof of correctness

— At the end, $\text{dist}(c)$ stores the shortest distance from s to c .

$P(i)$: dist correctly stores the shortest distance to every cell that is at a distance i from s .

$P(0)$: True

Assume, $P(i-1)$ is true & prove $P(i)$

complete the proof!

Feb 4, 2020 Tuesday

Majority Problem

Given a multiset containing n elements, output an element that occurs $> n/2$ times if it exists.

Idea: Given an element, checking whether it is majority element or not can be done in $O(n)$ time.

Algo 1: check for every element, whether or not it's majority $O(n)$

Algo 2: - sort
- pick middle element and check whether or not it's the majority element. $O(n \lg n)$

An assumption in the previous algorithm is that we can compare two elements as $<, >, =$

- If we are only allowed $=$ & \neq then algo 2 does not work.

$S = \left\{ \begin{array}{l} \boxed{a, b, d}, \dots, x, y : \text{distinct} \\ c, c, \boxed{c}, c, \dots, c : \text{majority elt.} \end{array} \right.$

- observation 1: if we remove 2 distinct elements from S , then the majority element is preserved in the new set.

$S = \boxed{c \mid c \mid a \mid c \mid b \mid d \mid c \mid b \mid c \mid c}$

$S = \boxed{a \mid a \mid c \mid c \mid c \mid c \mid b \mid b \mid c \mid c}$

$S' = \boxed{a \mid c \mid c \mid b \mid c}$

- observation 2: if S consists of $n/2$ pairs of similar element in each pair then choosing one element per pair and

creating a set S' will ensure that,

S has a majority $\Leftrightarrow S'$ has a majority and the element is same

Algo Assumes $\text{size}(S) \leftarrow 2^n$

Input: S

1. pair the elements of $S \rightarrow O(n)$
2. If a pair has distinct elements then remove it $\rightarrow O(n)$
3. Create a new set by choosing one element per pair having the same element and repeat step 1
4. Finally check if the last element is majority or not.

$$cn + \frac{cn}{2} + \frac{cn}{4} + \dots + 1 \leq 2c = O(n)$$

-
- uses $O(n)$ extra space
 - Aim:
 - $O(n)$ time
 - $O(1)$ extra space
 - $O(1)$ pass over the input

Majority(S) {

count $\leftarrow 0$

for $i = 0$ to $n-1$ {

if (count = 0) {

$x \leftarrow A(i);$

count += 1;

}

else if ($x = A(i)$) {

count += 1; }

loop invariant
is something about
 x that does not change.

```
else { count -= 1 }  
}
```

check if x is maj. or not

*hw: Prove the correctness
of the algorithm*

Feb 5, 2020 wednesday

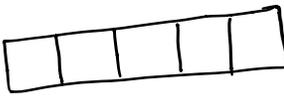
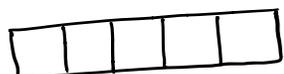
Divide and Conquer

Has 3 parts — 1) Given a problem divide it to two or more subproblems.

2) Assume solutions to the subproblems. Also need to take care of the base case.

3) Combine solutions of subproblems to get solutions of original problem. Usually the toughest step.

Merging two sorted arrays

A:  n elts
B:  m elements } both sorted

How to combine them into a single sorted array?

Merge ($A[0, \dots, n]$, $B[0, \dots, m]$, C) $\rightarrow O(n+m)$

```
i ← 0 // index for A  
j ← 0 // index for B  
k ← 0 // index for C
```

```
while (i < n & j < m) {
```

```
  if (A[i] < B[j]) {
```

```
    C[k] ← A[i];
```

```
    k++; i++; }
```

```

else {
    C(k) ← B(j);
    k++; j++;
}
}
while (i < n) {
    C(k) ← A(i); i++; k++;
}
while (j < m) {
    C(k) ← A(j); j++; k++;
}

```

```

MergeSort(A(i...j)) {

```

```

    if (i < j) {

```

```

        mid ←  $\frac{(i+j)}{2}$ ;

```

```

        T(n/2) ← MergeSort(A(i, ..., mid));

```

```

        T(n/2) ← MergeSort(A(mid+1, ..., j));

```

```

        O(n) ← Merge(A[i, ..., mid], A[mid+1, ..., j], C);

```

```

        O(n) ← Copy C into A;
    }
}

```

$$T(n) = 2T\left(\frac{n}{2}\right) + cn$$

$$= 2\left(2T\left(\frac{n}{4}\right) + c\frac{n}{2}\right) + cn$$

$$= 2^2 T\left(\frac{n}{2^2}\right) + 2cn$$

$$= 2^3 T\left(\frac{n}{2^3}\right) + 3cn$$

$$= \lg n \cdot cn = O(n \lg n)$$

MergeSort(A): takes an array A and sorts it.

MergeSort: Sorts an array

- Time: $O(n \lg n)$

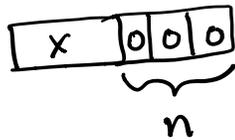
- Extra Space: $O(n)$. merge is not possible without this.

Multiplying two n bit integers (true ints assumed)

- Measure of efficiency: no. of bit operations

- Add 2 n-bit numbers. $\rightarrow O(n)$

- $X * 2^n$
↑
n bit

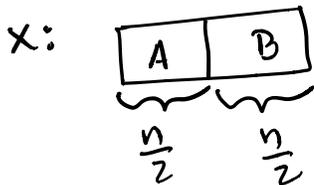


$\rightarrow O(n)$

$X * Y = Z$
↑ ↑
n bit n bit

\rightarrow naive algorithm takes $O(n^2)$ bit operations.

Divide and Conquer



$$X = A * 2^{n/2} + B$$

$$Y = C * 2^{n/2} + D$$

$$X * Y = AC * 2^n + (AD + BC) * 2^{n/2} + BD$$

$T(n)$: no. of bit operations to multiply 2 n-bit integers.

$$T(n) = 4 T\left(\frac{n}{2}\right) + Cn$$

=

Feb 7, 2020

Friday

RECAP

Multiplying 2 n-bit integers

- $X + Y$: $O(n)$ bit operations
- $X * 2^n$: $O(n)$ bit ops
- $X * Y$: $O(n^2)$ bit ops

Divide & Conquer Approach

$$X = A * 2^{n/2} + B \quad X = \boxed{A \mid B}$$

$$Y = C * 2^{n/2} + D \quad Y = \boxed{C \mid D}$$

$$X * Y = AC * 2^n + (AD + BC) 2^{n/2} + BD$$

$T(n)$: Time taken to multiply 2 n-bit ints

$$T(n) = 4T(n/2) + cn$$

$$= 4(4T(n/4) + cn/2) + cn$$

$$= 4^2 T(n/2^2) + cn + 2cn$$

$$= 4^2 (4T(n/2^3) + \frac{n}{2^2}) + cn + 2cn$$

⋮

$$= 4^{\lg n} T(1) + cn + 2cn + 4cn + \dots + 2^{\lg n - 1} cn$$

$$= O(n^2)$$


```

for (k = i to  $\frac{i+j}{2}$ ) {
    for (l =  $\frac{i+j}{2} + 1$  to j) {
        if (A(k) > A(l))
            C3++;
    }
}
return C1 + C2 + C3

```

$$T(n) = 2T(n/2) + cn^2$$

$$= O(n^2) \quad \text{check!}$$

Inversion 2 (A(i, ..., j)) : sorts A(i, ..., j) and returns # of invs.

C₃ ← 0;
if (i < j)

C₁ ← Inv2 (A(i, ..., $\frac{i+j}{2}$))

C₂ ← Inv2 (A($\frac{i+j}{2} + 1$, ..., j))

for (k = i to $\frac{i+j}{2}$) {

do Bin Search in A($\frac{i+j}{2} + 1$, ..., j)

to find an index l s.t

A(i) > A(l) and

A(i) ≤ A(l+1)

C₃ ← C₃ + (l - $\frac{i+j}{2}$);

}

return C₁ + C₂ + C₃;

Merge (A(i, ..., $\frac{i+j}{2}$), A($\frac{i+j}{2}$, ..., j), C) }

else {return 0;}

$$T(n) = 2T(n/2) + \underbrace{\frac{n}{2} (\lg n) + cn}_{c' n \lg n}$$

$$= O(n \lg^2 n)$$

Merge-and-count (A, i, j, C) {

mid ← i + j / 2 ; p ← i ; q ← mid + 1 ; k ← 0 ; count ← 0.

while (p < mid & q < j) {

if (A(p) < A(q)) {

C(k) ← A(p) ; k++ ; p++ ; }

else {

C(k) ← A(q) ; k++ ; q++ ;

count ← count + (mid - p) ;

}

}

return count ;

}

Feb 12, 2020 Wednesday

RECAP

Solving Recurrences using induction

- Given $T(n)$
- Guess an appropriate $f(n)$
- Assume $T(n) \leq f(n) \quad \forall n < m$
- Show that $T(m) \leq f(m)$
- Show $T(1) \leq f(1)$

Common Errors

$$T(n) = 2T(n/2) + cn$$

$$T(1) = 0$$

Let, $f(n) = O(n) = an$

Assume $T(n) \leq an \quad \forall n < m$

$$T(m) = 2T(m/2) + cm$$

$$= am + cm$$

$$= O(m) \quad \times \text{incorrect}$$

Solving using the Master Theorem

- multiplicative functions.

A function $f: \mathbb{N} \rightarrow \mathbb{N}$ is said to be multiplicative if

$$f(n) \cdot f(m) = f(nm)$$

e.g., n^2

$$n^2 \cdot m^2 = (nm)^2$$

$$n^{1.5}$$

$$n^{1.5} \cdot m^{1.5} = (nm)^{1.5}$$

$$2n^2$$

$$2n^2 \cdot 2m^2 = 4(nm)^2 \neq 2(nm)^2$$

$$f(a^k) = [f(a)]^k$$

$$f(n^{-1}) = \frac{1}{f(n)}$$

Master Theorem

Consider a recurrence of the form $T(n) = aT(n/b) + f(n)$, $T(1) = 1$ where a, b are constant ints and $b > 1$ and $f(n)$ is a multiplicative function. Assume $n = b^k$ for some k .

$$T(n) = aT(n/b) + f(n)$$

$$= a(aT(n/b^2) + f(n/b)) + f(n)$$

$$= a^2 T(n/b^2) + a f(n/b) + f(n)$$

$$= a^2 (aT(n/b^3) + f(n/b^2)) + a f(n/b) + f(n)$$

$$= a^3 T(n/b^3) + a^2 f(n/b^2) + a f(n/b) + f(n)$$

⋮

$$= a^k T(n/b^k) + a^{k-1} f(n/b^{k-1}) + \dots + a f(n/b) + f(n)$$

$$= a^k + \sum_{i=0}^{k-1} a^i f(n/b^i)$$

$$= a^k + \sum_{i=0}^{k-1} a^i \frac{f(n)}{(f(b))^i} = a^k + f(n) \sum_{i=0}^{k-1} \left(\frac{a}{f(b)}\right)^i$$

Consider 3 cases

$$\boxed{k = \log_b n}$$

- $a = f(b)$

$$T(n) = a^k + k \cdot f(n)$$

$$= a^k + k \cdot f(b)^k$$

$$= a^k + k \cdot a^k$$

$$= a^k (k+1)$$

$$= a^{\log_b n} (\log_b n + 1)$$

$$= n^{\log_b a} (\log_b n + 1) = O(n^{\log_b a} \cdot \log_b n)$$

$$x^{\log_y a} = a^{\log_y x}$$

$a < f(b)$

$$T(n) = a^k + f(n) \cdot \sum_{i=0}^{k-1} \left(\frac{a}{f(b)}\right)^i$$

$$= a^k + f(n) \leq f(n) + f(n) = O(f(n))$$

- $a > f(b)$

$$T(n) = a^k + f(n) \cdot \frac{\left(\frac{a}{f(b)}\right)^k - 1}{\frac{a}{f(b)} - 1}$$

$$= a^k + \cancel{f(b)} \cdot \frac{a^k - \cancel{f(b)}^k}{\cancel{f(b)} \cdot \underbrace{\left(\frac{a}{f(b)} - 1\right)}_{O(1)}}$$

$$= O(a^k) = O(n^{\log_b a})$$

In summary

$$T(n) = a T(n/b) + f(n)$$

$$T(n) = \begin{cases} O(n^{\log_b a} \cdot \log_b n) & ; a = f(b) \\ O(f(n)) & ; a < f(b) \\ O(n^{\log_b a}) & ; a > f(b) \end{cases}$$

$$\text{count} \leftarrow \text{count} + (\text{mid} - p)$$

Feb 14, 2020 Friday

```
Quicksort (A, i, j) {  
  if (i < j) {  
    k ← Partition (A, i, j);  
    Quicksort (A, i, k-1);  
    Quicksort (A, k+1, j);  
  }  
}
```

```
Partition (A, p, r) {  
  x = A[r]  
  i = p-1  
  for j = p to r-1  
    if A[j] ≤ x  
      i = i+1  
      exchange A[i] with A[j]  
  exchange A[i+1] with A[r]  
  return i+1 }  
}
```

Worst case complexity: $O(n^2)$

Average time complexity:

$$T_{\text{avg}}(QS) = \frac{1}{n} \sum T_A(\pi) \quad \pi \text{ is permutations of } \{1, \dots, n\}$$

Where, $T_A(\pi)$ is the time taken by QS on array A having permutation π .

eg.,

6	8	2	3
3	4	1	2

50	100	1	10
3	4	1	2

→ Time taken is the same as the relative ordering is same.

→ we will assume wlog that A elements from $\{1, \dots, n\}$

P_i = all permutations that start with i .

$$|P_i| = (n-1)!$$

$T(n)$: Average time taken by quicksort on an array of size n . $\{1, 2, \dots, n\}$

$G(n, i)$: Average time taken by QS on an array $\{1, \dots, n\}$ where the first element of array is i .

$$T(n) = \frac{1}{n} \sum_{i=1}^n G(n, i)$$

$$G(n, i) = T(i-1) + T(n-i) + cn$$

$$\therefore T(n) = \frac{1}{n} \sum_{i=1}^n [T(i-1) + T(n-i) + cn]$$

$$= cn + \frac{1}{n} 2 \sum_{i=1}^{n-1} \tau(i)$$

$$T(n) = cn + \frac{2}{n} \sum_{i=1}^{n-1} \tau(i)$$

↳ can be written as partial fractions

Using method of induction

$$T(n) \leq an \log n + b$$

$$\therefore T(1) = d \quad \therefore (b \geq d)$$

Assume $m < n$,

$$T(m) \leq am \log m + b$$

$$T(n) = cn + \frac{2}{n} \sum_{i=1}^{n-1} \tau(i)$$

$$= cn + \frac{2}{n} \sum_{i=1}^{n-1} (a_i \log i + b)$$

$$= cn + \frac{2}{n} \left(\sum_{i=1}^{n/2} a_i \log i + \sum_{i=n/2+1}^{n-1} a_i \log i \right) + 2b$$

$$\leq cn + \frac{2}{n} \left(\sum a_i \log \frac{n}{2} + \sum a_i \log n \right) + 2b$$

$$= cn + \frac{2}{n} \left(\sum_{i=1}^{n-1} a_i \log n - \sum_{i=1}^{n/2} a_i \right) + 2b$$

$$= cn + \frac{2}{n} \left(\frac{n(n-1)}{2} \right) a \log n - \frac{2}{n} \frac{n}{2} \left(\frac{n/2-1}{2} \right) \cdot a + 2b$$

$$\leq a \log n + b + \underbrace{b + cn - a/4}_{\leq 0}$$

$$\boxed{\frac{a}{4} \geq b + cn}$$